



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

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P. Benda, et al.

SERIAL NO.: 09/751,144

FILED: December 29, 2000

FOR: TRANSPORT VEHICLE CAPACITY MAXIMIZATION
LOGISTICS SYSTEM AND METHOD OF SAME

EXAMINER: not yet assigned

CASE NO.: 9790350-0002

DATE: February 21, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The following information is submitted, pursuant to 37 CFR §§1.97-1.98 in accordance with Applicant's duty of disclosure under 37 CFR §1.56. This submission is not intended to constitute an admission that any patent, publication or other information cited herein is "prior art" as to the invention claimed. In accordance with 37 CFR §§1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other material information as defined by 37 CFR §1.56(a) exists.

Applicants submit herewith Form PTO 1449 listing the references cited in this Information Disclosure Statement. Applicants respectfully request that the Examiner

- (1) initial each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application and
- (2) return a copy of the initialed Form PTO 1449 to Applicants. Copies of the references are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR §1.97(b). Accordingly, no fee is due.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station
Sears Tower
Chicago, Illinois 60606-1080
Telephone: (312) 876-8000

By:

Shashank Upadhye
Limited Recognition Letter Attached



2163
PATENT

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APPLICANT: P. Benda, et al.

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(GROUP ART UNIT: 2163

)

(I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

)

(Assistant Commissioner For Patents

) Washington, D.C. 20231

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)

(Date of Deposit: February 21, 2001

)

Cindee Gilbert 2/21/01

(Cindee Gilbert

Date

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the Information Disclosure Statement of P. Benda, et al., for TRANSPORT VEHICLE CAPACITY MAXIMIZATION LOGISTICS SYSTEM AND METHOD OF SAME, Serial No. 09/751,144, filed December 29, 2000.

Also enclosed are: Information Disclosure Statement
PTO Form 1449
One (1) copy of 64 references
PTO Copy of Notice to File Application Papers
Corrected Drawings (12 Figures) (7 Pages Total)
Return-Receipt Postcard

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.17 concerning the transaction, or to credit any overpayment to Deposit Account 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

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By: *Shashank Upadhye*
Shashank Upadhye
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,144	12/29/2000	Peter Benda	09790350-0002

CONFIRMATION NO. 9215

FORMALITIES LETTER



'OC00000005749320'

Shashank S. Upadhye
Sonnenchein Nath & Rosenthal
Wacker Drive Station Sears Tower
P.O. Box 061080
Chicago, IL 60606-1080

Date Mailed: 02/09/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Client Name & No.

Applicant: *Arrow Stream*Ser.# / Pat.# 09/751,144Due Date: 2/21/01

Please acknowledge receipt of:

Application having ___ pages of specification and claims, and ___ sheets of drawings, FIG(S) ___
 Declaration / Oath
 Power of Attorney
 Small Entity Statement
 Check # _____

Patent Assignment Cover Sheet
 Assignment
 Check # _____

Letter
Information Disclosure Statement
 Form PTO-1449 & Refer.
 Certification
 Petition
 Check # _____

Preliminary Amendment
 Request for Priority and Pri. Doc.
 Reply to Office Action
 Request for Ext. of Time
 Check # _____

Amendment After Final Rejection
 Request for Ext. of Time
 Check # _____

Corrected Drawings
 Issue Fee Transmittal
 Check # _____

Other _____

Maintenance Fee Transmittal Form
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Cert. of Mailing
Express Mail No. _____

By _____

Mailed 2/21/01

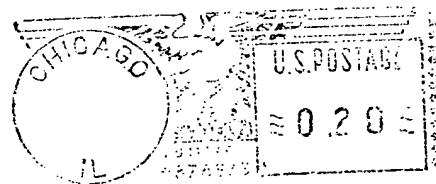
One copy by references
 PTO Notice to File Appl. Papers
 Corrected drawings

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SVU/pmt



To: SONNENSCHEIN NATH & ROSENTHAL
P.O. BOX #061080
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CHICAGO, ILLINOIS 60606-1080



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BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
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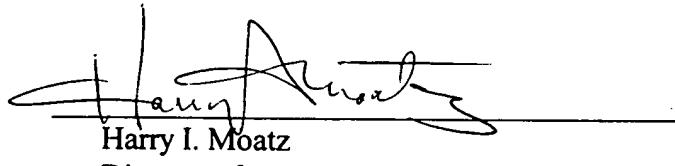
Technology Center 2100

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Shashank Upadhye is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Sonnenschein, Nath, and Rosenthal law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Sonnenschein, Nath, and Rosenthal law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Sonnenschein, Nath, and Rosenthal law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Shashank Upadhye ceases to lawfully reside in the United States, (ii) Shashank Upadhye's employment with the Sonnenschein, Nath, and Rosenthal law firm ceases or is terminated, or (iii) Shashank Upadhye ceases to remain or reside in the United States, authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: September 14, 2001



Harry I. Moatz
Director of Enrollment and Discipline